

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Assignee Address: Bennigsenplatz 1, DE-40474 Duesseldorf, GERMANY

See MPEP chapter 600 concerning utility patent application contents.

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 1** ☒ **Fee Transmittal Form (e.g. PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
- 2** ☒ **Specification** **Total Sheets**
- 3** ☐ **Formal Drawing(s)** **Total Sheets**
- (35 U.S.C. 113)
- 4** ☐ **Oath or Declaration** **Total Pages**
- a. ☐ **Newly executed (original or copy)**
- b. ☐ **Copy from a prior application (37 C.F.R., §1.63(d))**
(for continuation / divisional w/ box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).
- 5** ☐ **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
- 6** ☒ **Nucleotide and/or Amino Acid Sequence Submission**
(if applicable, all necessary)
- a. ☒ **Computer Readable Form (CRF)**
- b. **Specification or Sequence Listing on:**
- i. ☐ **CD-ROM or CD-R (2 copies); or**
- ii. ☐ **Paper**
- c. ☒ **Statement verifying identity of above copies**

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.
See 37 CFR 1.27
16. ☒ Other: List of Inventors' Names and Addresses
Request for Priority
3.5" Disk (CRF Sequence Listing)

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No.

19. CORRESPONDENCE ADDRESS



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Name:	Norman F. Oblon	Registration No.:	24,618
Signature:	<i>Thomas Cunningham</i>	Date:	7-30-01
Name:	Thomas M. Cunningham, Ph.D.	Registration No.:	45,394

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Hans-Peter KRIMMER, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: PROCESS FOR THE PREPARATION OF ALLYSINE ACETAL

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	11 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	1 - 3 =	0	× \$33 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$840.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$840.00

Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

A check in the amount of \$840.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.*Thomas M. Cunningham*
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Thomas M. Cunningham, Ph.D.

Registration No. 45,394

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(OSMMN 10/00)

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: : ATTN: BOX SEQUENCE

Hans-Peter KRIMMER, et al. :

SERIAL NO: NEW APPLICATION :

FILED: HERewith :

FOR: PROCESS FOR THE PREPARATION OF ALLYSINE ACETAL

STATEMENT UNDER 37 C.F.R. §1.821(F)-(G)

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

In accordance with the requirements under 37 C.F.R. §1.821 through 1.825 for patent applications containing nucleotide sequence and/or amino acid sequence disclosures, Applicants submit herewith a Sequence Listing, placing it after the abstract of the disclosure as required by 37 C.F.R. §1.77(b)(11), and corresponding computer-readable form (CRF) for the above-identified application. The contents of the enclosed written (paper copy) Sequence Listing and its corresponding computer-readable form are identical.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Thomas Cunningham

Norman F. Oblon
Attorney of Record
Registration No. 24,618

Thomas M. Cunningham, Ph.D.
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NFO:TMC:ksh
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hans-Peter KRIMMER, et al.

FILING DATE: Herewith

FOR: PROCESS FOR THE PREPARATION OF ALLYSINE ACETAL

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

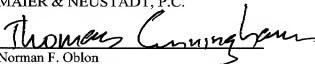
Listed below are the names and addresses of the inventors for the above-identified patent application.

- | | |
|-----------------------|--|
| 1. Hans-Peter KRIMMER | Germanenweg 17, DE-63128, Dietzenbach, GERMANY |
| 2. Oliver MAY | Am Rebenborn 17A, DE-60388 Frankfurt, GERMANY |
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| 4. Karlheinz DRAUZ | Zur Marienruhe 13, DE-63579 Freigericht, GERMANY |
| 5. Dietmar REICHERT | Elsavastrasse 79, DE-63863 Eschau, GERMANY |

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


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